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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Carl Vernon Venters III, et al.

Serial No.: 10/798,371

Filed: March 12, 2004

For: **SECURE STREAMING  
CONTAINER**

Docket No.: 07650007US

Group Art Unit: 2131

Examiner: REVAK, Christopher A.

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicants respectfully bring the following information listed on accompanying Form PTO-1449 to the attention of the examiner.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will consider information that had been cited

by or submitted to the U.S. Patent and Trademark Office in a prior application relied on under 35 U.S.C. §120. 1138 OG 37, 38 (May 19, 1992).

Applicants have checked the appropriate boxes below.

- ☐ 1. This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits OR before the mailing date of a first Office Action after the filing of a request for continued examination under 37 C.F.R. §1.114. No certification or fee is required.
- ☒ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- ☒ c. Attached is our check in the amount of \$ 180.00 in payment of the fee under 37 C.F.R. § 1.17(p).
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. It is hereby requested that the Information Disclosure Statement be considered.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent

office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).

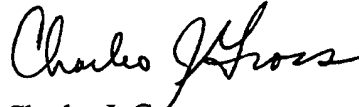
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- ☐ 4. Relevance of the non-English language document(s) is discussed in the present specification.
- ☐ 5. The document(s) was/were cited in a corresponding foreign application.
- ☐ 6. A concise explanation of the relevance of the non-English language document(s) appears below:
- ☐ 7. The Examiner's attention is directed to co-pending U.S. Patent Application No. \_\_\_\_\_, filed \_\_\_\_\_, which is directed to related technical subject matter. The identification of this U.S. Patent Application is not to be construed as a waiver of secrecy as to that application now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited application and the art cited therein during examination.
- ☐ 8. Copies of the documents were cited by or submitted to the Office in Application No. \_\_\_\_\_ filed on \_\_\_\_\_, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449 Form, and to indicate in the official file wrapper of this patent application that the documents have been considered.

Applicant(s): Carl Vernon Venters III, et al.  
Appl. No. 10/798,371

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency,  
or credit any overpayment, to our Deposit Account No. 23-1951.

Respectfully submitted,



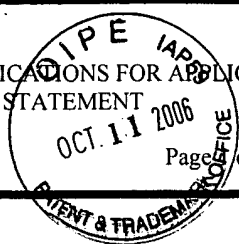
Charles J. Gross  
Reg. No. 52,972

MCGUIRE WOODS LLP  
1750 Tysons Boulevard  
Suite 1800  
McLean, VA 22102-4215  
Telephone No. 434-980-2229  
Facsimile No. 703-712-5194

V4014483.1

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)



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Attorney Docket No.:  
07650007USSerial No:  
10/798,371Applicant:  
Carl Vernon Venters III, et al.Filing Date:  
March 12, 2004Group:  
2131

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINERS INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	1	4,471,163	09-11-1984	Donald, et al.	----	----	
	2	4,528,643	07-09-1985	Freeny	----	----	
	3	4,558,176	12-10-1985	Arnold, et al.	----	----	
	4	4,658,093	04-14-1987	Hellman	----	----	
	5	4,683,553	07-28-1987	Mollier	----	----	
	6	4,796,220	01-03-1989	Wolfe	----	----	
	7	4,999,806	03-12-1991	Chernow, et al.	----	----	
	8	5,023,907	06-11-1991	Johnson, et al.	----	----	
	9	5,033,084	07-16-1991	Beecher	----	----	
	10	5,057,935	10-15-1991	Williams	----	----	
	11	5,191,611	03-02-1993	Lang	----	----	
	12	5,319,705	06-07-1994	Halter, et al.	----	----	
	13	5,337,357	08-09-1994	Chou, et al.	----	----	
	14	5,615,264	03-25-1997	Kazmierczak, et al.	----	----	
	15	5,629,980	05-13-1997	Stefik, et al.	----	----	
	16	5,671,276	09-23-1997	Eyer, et al.	----	----	
	17	5,671,279	09-23-1997	Elgamal	----	----	
	18	5,677,953	10-14-1997	Dolphin	----	----	
	19	5,703,279	12-30-1997	Igura, et al.	----	----	
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	21	5,708,709	01-13-1998	Rose	----	----	
	22	5,708,780	01-13-1998	Levergood, et al.	----	----	
	23	5,715,314	02-03-1998	Payne, et al.	----	----	
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	26	5,778,173	07-07-1998	Apte	----	----	
	27	5,778,367	07-07-1998	Wesinger Jr., et al.	----	----	
	28	5,784,460	07-21-1998	Blumenthal, et al.	----	----	
	29	5,790,664	08-04-1998	Coley, et al.	----	----	
	30	5,790,790	08-04-1998	Smith, et al.	----	----	
	31	5,794,207	08-11-1998	Walker, et al.	----	----	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S  
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	32	5,796,952	08-18-1998	Davis, et al.	----	----	
	33	5,889,943	03-30-1999	Ji, et al.	----	----	
	34	5,892,825	04-06-1999	Mages, et al.	----	----	
	35	5,905,860	05-18-1999	Olsen, et al.	----	----	
	36	5,958,005	09-28-1999	Thorne, et al.	----	----	
	37	5,958,051	09-28-1999	Renaud, et al.	----	----	
	38	5,963,915	10-05-1999	Kirsch	----	----	
	39	6,014,688	01-11-2000	Venkatraman, et al.	----	----	
	40	6,021,491	02-01-2000	Renaud	----	----	
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	42	6,055,570	04-25-2000	Nielsen	----	----	
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	44	6,073,256	06-06-2000	Sesma	----	----	
	45	6,075,862	06-13-2000	Yoshida, et al.	----	----	
	46	6,098,056	08-01-2000	Rusnak, et al.	----	----	
	47	6,134,592	10-17-2000	Montulli	----	----	
	48	6,144,942	11-07-2000	Ruckdashel	----	----	
	49	6,178,442	01-23-2001	Yamazaki	----	----	
	50	6,192,396	02-20-2001	Kohler	----	----	
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	55	6,286,103	09-04-2001	Maillard et al.	----	----	
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	58	6,304,897	10-16-2001	Venkatraman, et al.	----	----	
	59	6,314,454	11-06-2001	Wang, et al.	----	----	
	60	6,332,156	12-18-2001	Cho, et al.	----	----	
	61	6,385,596	05-07-2002	Wiser et al.	----	----	
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	63	6,449,719	09-10-2002	Baker, Brian	----	----	
	64	6,484,156	11-19-2002	Gupta, et al.	----	----	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO

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	65	6,684,248	01-27-2004	Janacek, et al.	----	----	
	66	2005/0021633	01-27-2005	Venkatraman, R.C., et al.	----	----	
	67	6,868,403	03-15-2005	Wiser et al.	----	----	
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	70	7,036,011	04-25-2006	Grimes et al.	----	----	

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO
	71	EP 0 717 338	06-19-1996	EPO	---	---	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	72	WO 96/27155	09-06-1996	WIPO	---	---	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	73	CA 2 220 457	05-08-1998	CIPO	---	---	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	74	WO 98/30964	07-16-1998	WIPO	---	---	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	75	WO 98/58306	12-23-1998	WIPO	---	---	<input checked="" type="checkbox"/>	<input type="checkbox"/>

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	76	Netdox Brochure, "Certified Verified Anytime" (12 pages)
	77	Netdox Brochure 1997 - Doxit Service Overview (5 pages)
	78	Tumbleweed Software, "POSTA"; <a href="http://www.posta.com/posta/posta_overview.html">http://www.posta.com/posta/posta_overview.html</a> , (3 pages)
	89	InterTrust Technology "MetaTrust Overview"; <a href="http://www.intertrust.com/technology/tech.html">http://www.intertrust.com/technology/tech.html</a> (8 pages)
	80	InterTrust Technologies Corporation: Overview: InterTrust Powers The New Digital Economy, A Piece of the Tick - Version 2.0, October 9, 1998 (38 pages)
	81	Sibert et al., DigiBox: A Self-Protecting Container for Information Commerce, Proceedings of the First USENIX Workshop on Electronic Commerce, New York, July 1995 (14 pages)
	82	Vbox White Paper, <a href="http://www.previewsystems.com/products/vbox/whitepaper/white_paper.html">http://www.previewsystems.com/products/vbox/whitepaper/white_paper.html</a> , (13 pages)
	83	Overview of Release Software's Services (3 pages)
	84	Setting Up a Web Store: A White Paper for Software Resellers; 1997 Release Software Corp.; pp. 1-17; <a href="http://www.releasesoftware.com/whitep/resellerwhitepaper.rft">http://www.releasesoftware.com/whitep/resellerwhitepaper.rft</a>
	85	Stuffit Deluxe 5.0, Award-winning, industry-standard compression by Aladdin Systems, September 1998
	86	Stuffit Deluxe 5.0: The Complete Compression Solution; modified January 7, 1999, pp. 1-3; <a href="http://www.aladdinsys.com/deluxe/benefits.html">http://www.aladdinsys.com/deluxe/benefits.html</a>
	87	WinZip Home Page: Do you have Questions about WinZip? Check our FAQ Page; downloaded 04-26-99; <a href="http://www.winzip.com/">http://www.winzip.com/</a> (8 pages)
	88	Setting Up a Web Store: A White Paper for Software Developers and Publishers; 1997 Release Software Corp.; pp. 1-18; <a href="http://www.releasesoftware.com/whitep/isvwhitepaper.rtr">http://www.releasesoftware.com/whitep/isvwhitepaper.rtr</a>
	99	InterTrust Technology; <a href="http://www.intertrust.com/technology/tech.html">http://www.intertrust.com/technology/tech.html</a> (1 page)
	90	InterTrust Technologies; <a href="http://www.intertrust.com/main/technology/rs_howitworks.html">http://www.intertrust.com/main/technology/rs_howitworks.html</a> (8 pages)
	91	Supplementary European Search Report dated January 17, 2006 (1 page)

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